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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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09/398,502

09/17/1999

HABIB RIAZI

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11/03/2006

KEVIN M MASON
RYAN, MASON & LEWIS, LLP
1300 POST ROAD
SUITE 205
FAIRFIELD, CT 06430

EXAMINER

DUONG, DUC T

ART UNIT

PAPER NUMBER

2616

DATE MAILED: 11/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

SK

Office Action Summary	Application No.	Applicant(s)	
	09/398,502	RIAZI ET AL.	
	Examiner	Art Unit	
	Duc T. Duong	2616	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 15 August 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-22 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Claim Rejections - 35 USC § 103

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-22 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sayeed (US Patent 6,594,320 B1) in view of Barton et al (US Patent 6,449,246 B1).

Regarding to claims 1 and 7, Sayeed discloses an orthogonal frequency division multiplexing OFDM transmitter (fig. 1) for transmitting an OFDM signal having a plurality of sub-carriers (col. 4 lines 9-21), comprising a differential encoder 130 for modulating said OFDM signal in the frequency domain using adjacent sub-carriers to produce differentially encoded symbols (fig. 1 col. 4 lines 31-33); an IFFT buffer 140 for storing said differentially encoded symbols and one or more spectral null to produce an analog signal centered at a desired carrier frequency (fig. 4a-c col. 4 lines 36-60); and a transformer 150 for creating said OFDM signal (fig. 1 col. 5 lines 61-65).

Sayeed fails to teach for storing pilot tones.

However, Barton discloses a multicarrier access communication system, wherein pilot tones are used as null symbols (col. 11 lines 48-50).

Thus, it would have been obvious to a person of ordinary skill in the art, at the time of the invention, to employ pilot tones as null symbols as taught by Barton in Sayeed's system for performing symbol timing and carrier frequency offset estimation.

Regarding to claims 2 and 8, Sayeed discloses the transformer 150 implements an Inverse Fast Fourier Transform (fig. 1 col. 5 lines 6-8).

Regarding to claims 3 and 9, Sayeed discloses the transformer 150 implements an orthogonal transformation (it is inherent in OFDM system the transformer implements an orthogonal transformation).

Regarding to claims 4 and 10, Sayeed discloses the transformer 150 generates said OFDM signal with a plurality of sub-carriers for carrying data (fig. 1 col. 4 lines 36-55).

Regarding to claims 5 and 11, Sayeed discloses at least one unmodulated sub-carrier (spectral null) generated by said transforming step is allocated as a pilot bin to provide a reference within each OFDM symbol (fig. 4a col. 4 lines 39-42).

Regarding to claims 6 and 12, Sayeed discloses the differential encoding 130 is performed with respect to consecutive sub-carriers in said OFDM system (fig. 2 col. 4 lines 31-33; noted the equation deriving the complex elements suggest of consecutive sub-carriers processing).

Regarding to claims 13 and 18, Sayeed discloses an orthogonal frequency division multiplexing OFDM receiver (fig. 20 for receiving an OFDM signal having a plurality of sub-carriers (col. 5 lines 39-46), comprising a transformer 220 for recovering said OFDM signal having a plurality of sub-carriers (fig. 2 col. 5 lines 46-48), wherein said recovered signal contains differentially encoded symbols and one or more pilot tones and wherein said recovered signal is centered at a desired carrier frequency (fig. 4a-c col. 5 lines 48-50); and a differential decoder 230 for demodulating said OFDM signal in the frequency domain wherein said differential decoding is performed using adjacent sub-carriers (fig. 2 col. 5 lines 51-55).

Sayeed fails to teach for storing pilot tones.

However, Barton discloses a multicarrier access communication system, wherein pilot tones are used as null symbols (col. 11 lines 48-50).

Thus, it would have been obvious to a person of ordinary skill in the art, at the time of the invention, to employ pilot tones as null symbols as taught by Barton in Sayeed's system for performing symbol timing and carrier frequency offset estimation.

Regarding to claims 14 and 19, Sayeed discloses the transformer 220 implements a Fast Fourier Transform (fig. 2 col. 5 lines 46-48).

Regarding to claims 15 and 20, Sayeed discloses the transformer 220 implements an orthogonal transformation (it is inherent in OFDM system the transformer implements an orthogonal transformation).

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Regarding to claims 16 and 21, Sayeed discloses at least one unmodulated sub-carrier (spectral null) generated by said transforming step is allocated as a pilot bin to provide a reference within each OFDM symbol (fig. 4a col. 4 lines 39-42).

Regarding to claims 17 and 22, Sayeed discloses the differential decoding 230 is performed with respect to consecutive sub-carriers in said OFDM system (fig. 2 col. 4 lines 31-33; noted the equation deriving the complex elements suggest of consecutive sub-carriers processing).

Response to Arguments

3. Applicant's arguments filed August 15, 2006 have been fully considered but they are not persuasive. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., tone is a sinusoid of a particular frequency) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). Furthermore, when Barton suggested carrier synchronization is achieved using pilot-tone-aided or pilot-symbol-aided techniques, it does not necessarily meant Barton distinguished pilot tone from pilot symbols. Rather, Barton used pilot tone and pilot symbol interchangeably as to meant the same function. Thus, based on the reasons set forth here the rejections are maintained.

Conclusion

4. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Duc T. Duong whose telephone number is 571-272-3122. The examiner can normally be reached on M-F (9:00 AM-6:00 PM).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Huy D. Vu can be reached on 571-272-3155. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should

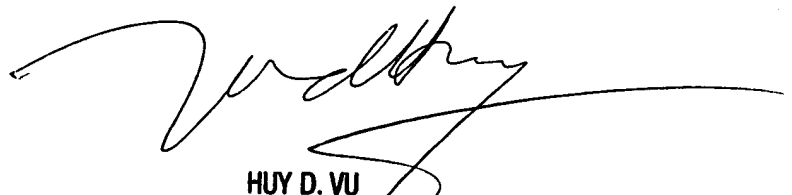
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you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

DD
DD



HUY D. VU
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 2600